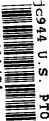


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08/28/01



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Eric Chapoulaud, Craig A. Andreiko,
Mark A. Payne

Atty. Docket: ORM-166CI

Title: CUSTOM ORTHODONTIC APPLIANCE FORMING METHOD AND APPARATUS

CERTIFICATE OF MAILING BY EXPRESS MAIL - 37 CFR 1.10

'Express Mail' mailing label number EL915036125US
Date of Deposit August 28, 2001

I certify that this paper or fee (along with the enclosures noted herein) is being deposited with the United States Postal Service 'Express Mail Post Office to Addressee' service under 37 CFR 1.10 on the above date and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

By Ryan Cummins (person mailing paper)

UTILITY PATENT APPLICATION TRANSMITTAL

BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

This is a request for filing, under 37 CFR § 1.53(b), a(n):

- ☐ Original (non-provisional) application.
- ☐ Divisional of prior application Serial No. __, filed on __.
- ☒ Continuation of prior application Serial No. PCT/US00/35558, filed on December 29, 2000, which claims priority to Provisional Serial No. 60/173,890, filed on December 29, 1999.
- ☐ Continuation-in-part of prior application Serial No. __, filed on __.

For Divisional and Continuation applications ONLY:

- ☐ Copy of Executed Declaration, Power of Attorney and Petition Form from parent application is enclosed (as noted below in Enclosures).
- ☐ Incorporation by Reference: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied herewith, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

For all Divisional, Continuation and Continuation-in-part applications:

- ☐ Small Entity Status was requested in the prior application, and small entity status is still proper and desired.
- ☐ This application is assigned to __ by virtue of an earlier assignment filed in the prior application at Reel __, Frame __.

PRELIMINARY AMENDMENT/CALCULATION OF FEES

- ☐ Please cancel claims __ without prejudice, and prior to calculating the fees, __ total claim(s), of which __ is(are) independent, is(are) pending after the amendment.
- ☒ Please enter the enclosed preliminary amendment identified below prior to calculating the fees. 20 total claim(s), of which 4 is(are) independent, is(are) pending after the amendment.

ORM / 166CI
Express Mail No EL915036125US
Page 1 of 3



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☐ Small Entity Status is Requested

☒ **The Fees are Calculated as Follows:**

| Fee | Number of Claims | In Excess of | Extra | At Rate | Amount |
|---|------------------|--------------|-------|---------|----------|
| Total Claims | 20 | 20 | 0 | \$18 | \$0.00 |
| Independent Claims | 4 | 3 | 1 | \$80 | \$80.00 |
| MULTIPLE DEPENDENT CLAIM FEE | | | | | |
| BASIC FEE | | | | | \$710.00 |
| TOTAL OF ABOVE CALCULATIONS | | | | | \$790.00 |
| REDUCTION BY 50% FOR FILING BY SMALL ENTITY | | | | | |
| TOTAL | | | | | \$790.00 |

ENCLOSURES

☒ **Utility Patent Application Transmittal Form containing Certificate of Mailing By Express Mail Under 37 CFR 1.10.**

☒ **Return Postcard.**

APPLICATION PAPERS

☒ **Utility Patent Application, with: cover sheet, 37 page(s) specification (including 48 total claim(s), of which 9 is(are) independent), and 1 page(s) abstract.**

☒ **Drawings: 23 sheet(s) of formal drawings (28 total figure(s)).**

☐ Microfiche Computer Program (Appendix).

☐ Nucleotide and/or Amino Acid Sequence, including (all are necessary): Computer Readable Copy, Paper Copy (identical to computer copy), and Statement verifying identity of copies.

☒ **An Unsigned Declaration, Power of Attorney and Petition Form.**

☐ Copy of Executed Declaration, Power of Attorney and Petition Form from prior application identified above.

☐ Certified Copy of priority document(s) identified as attached above.

ADDITIONAL PAPERS

☐ Assignment to __, Recordation Cover Sheet (Form PTO-1595)

☒ **Preliminary Amendment (to be entered prior to calculation of fees)**

☐ Information Disclosure Statement, __ sheet(s) Form PTO-1449, __ U.S. Patent Reference(s), __ Foreign Patent Reference(s) and __ Other Reference(s)

☐ Other: __

CHECKS

☐ A Check of __ for the filing fee.

☐ A Check of __ for the assignment recording fee

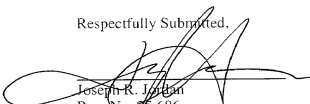
DEPOSIT ACCOUNT AUTHORIZATION

- ☐ Please charge Deposit Account No. in the amount of .
- ☐ The Commissioner is authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the entire pendency of the application, or credit any overpayment, to Deposit Account No. . A duplicate of this transmittal is attached.
- ☒ **THE PAYMENT OF FEES IS BEING DEFERRED.**

Respectfully Submitted,

WOOD, HERRON & EVANS, L.L.P.
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Cincinnati, Ohio 45202
(513) 241-2324

JRJ/ah


Joseph R. Jordan
Reg. No. 15,686